

501.39082X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): UNO, et al.

Serial No.:

09/646,671

Filed:

September 20, 2000

For:

MANUFACTURING METHOD OF SEMICONDUCTOR INTEGRATED

CIRCUIT DEVICE AND SEMICONDUCTOR INTEGRATED CIRCUIT

DEVICE

Group:

Not yet assigned

Examiner:

Not yet assigned

UNDER 37 CFR § 1.97 & 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

January 21, 2003

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find copies of documents that were recently discovered by Applicants, which might be relevant to the subject matter of the above-identified application. Also enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed documents.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, requirements of 37 CFR § 1.97(b) are satisfied.

In any event, the following statement is made under 37 CFR § 1.97(e)(2):

No item of information contained in this Information

Disclosure Statement was cited in a communication from a foreign

patent office in a counterpart foreign application, and, to the

knowledge of the undersigned in light of reasonable inquiry, no

item of information contained in this Information Disclosure

Statement was known to any individual designated in 37 CFR

§ 1.56(c) more than three months prior to the filing of this

Information Disclosure Statement.

To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR § 1.98(a)(3) are satisfied, in connection with the enclosed Japanese Patent Document No. 2001-110789, by U.S. Patent No. 6,340,435 corresponding to the Japanese patent document and the English translation enclosed therewith, and English language Abstracts of other Japanese language documents (that is, WO 00/14786; Japanese Patent Document No. 7-94483; Japanese Patent Documents No. 8-8231 and No. 8-17928.

In view of all of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and 1.98 have been satisfied, in connection with all documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing

of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 501.39082X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO. 501.39082X00

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT UNO, et al.

GROUP TBD

(Use several sheets if necessary)

FILING DATE September 20, 2000

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date	
А	A	2002/0054962	05/09/02	Huang				
А	В	2001/0055873	12/27/01	Watanabe et al.		_	1	
A	С	6,340,435	01/22/02	Bjorkman et al.			7	
А	D	6,043,145	3/28/00	Suzuki et al.		ſ	RECEI JAN 22	
А	E	5,889,330	03/30/99	Nishimura et al.			n «	
А	F	5,763,954	6/09/98	Hyakutake				
A	G	5,728,630	03/17/98	Nishimura et al.			800	
А	Н	5,604,380	02/18/97	Nishimura et al.				

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	01	Subclass	Translation	
					Class		Yes	No
	AI	11-40543	02/12/99	Japan			Abstract	
	AJ	2001-60584	3/6/01	Japan			Х	
	AK	WO 99/21217	4/29/99	PCT				
	AL	WO 99/52135	10/14/99	PCT				
	AM	WO 00/14786	3/16/00	PCT			X	
	AN	EP 1 120 822	01/08/02	EP	_			
	AO	7-94483	4/7/95	Japan			Abstract	
	AP	8-8231	01/12/96	Japan			Abstract	
	AQ	8-17928	1/19/96	Japan			Abstract	
	AR	2001-110789	4/20/01	Japan			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		C.Y. Chang et al., "ULSI Technology", Chapter 8, pages 418-421, McGraw Hill, 1996			
L	AS				
		Shyam P. Murarka et al., "Copper-Fundamental Mechanisms for Microelectronic			
	AT	Applications", Chapter 6, pages 175-201, John Wile & Sons, 2000			
Examine	er	Date Considered			